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# Annual Performance Report

These forms meet the minimum requirements for an Annual Performance Report (APR) required by the United States Department of Housing and Urban Development. In addition to these minimum requirements, a tribe/tribally designated housing entity (TDHE) may elect to prepare a more comprehensive APR. If a tribe/TDHE elects to prepare a more comprehensive report, the required elements of this APR must still be submitted on the prescribed HUD forms.

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# Annual Performance Report

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Under the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4104 et seq.) HUD will provide grants, loan guarantees, and technical assistance to Indian tribes and Alaskan Native villages for the development and operation of low-income housing in Indian areas. Grants will be made to eligible recipients under the Indian Housing Block Grant Program. To be eligible for the grants, respondents must submit an Indian Housing Plan that meets the minimum requirements of the Act, consult with residents, prepare Title VI application/certification, submit performance reports, and maintain records for HUD monitoring and audit review.

Public reporting burden for this collection of information is estimated to average 120 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Recipients of Indian Housing Block Grant (IHBG) program funds are required to submit an Annual Performance Report (APR) to HUD within 60 days of the end of their program year. Statutory reference is contained in the Native American Housing Assistance and Self-Determination Act (NAHASDA) of 1996, as amended, *Sec. 404 Performance Reports*. The regulatory reference is found at 24 CFR Part 1000, sections 512 through 521. Response to the Annual Performance Report is mandatory and is required by Sections 403 and 404 of NAHASDA and by the regulations at 24 CFR 1000.

The information requested does not lend itself to confidentiality.

This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

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# Annual Performance Report

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Recipients of NAHASDA funds are required to prepare and submit an Annual Performance Report (APR) within 60 days of the end of the program year. The APR shall contain the information required below and narrative statements as needed.

**The Annual Performance Report consists of the following parts:**

|             |   |
|-------------|---|
| Cover sheet | General information on the tribe or TDHE      |
| Part I      | Reporting on the One-Year Indian Housing Plan |
|             | Table I Sources of Funds                      |
|             | Table II Uses of Funds                        |
| Part II     | Reporting on Program Year Accomplishments     |
|             | Section A Monitoring                          |
|             | Table III Inspection of Assisted Housing      |
|             | Section B Audits                              |
|             | Section C Public Accountability               |
|             | Section D Jobs Created by NAHASDA             |

Part I (including Tables I and II) – One separate part must be submitted for each open grant.

Part II (including Sections A, B, C, and Table III) – This part is not grant specific. A single part is to be prepared and submitted at the end of each program year and will cover all open grants.

Part D (including Table IV) – This part is optional.

# Annual Performance Report Cover Sheet

1. APR is submitted by (mark one) ☒ TRIBE ☐ THDE

2. Reporting period for which this APR is prepared: from: 01/01/07 to: 12/31/07

3. Recipient Name and Address

Tulalip Tribes of Washington Housing Department  
3107 Reuben Shelton Drive  
Tulalip, WA 98271

|   |                          |   |
|---|--------------------------|---|
| 4. Name of Contact Person<br>Herman Williams, Jr. | Title<br>Housing Manager | Telephone no. (include Area Code)<br>(360) 716-4474 |
|---|--------------------------|---|

If APR is submitted by the THDE

5. Enter the name of each tribe included in this APR

6. Name of official authorized to submit APR

Mel Sheldon

Title

Tribal Chairman

Signature

Date

**Certification:** The information contained in this report is accurate and reflects the activities actually accomplished during the reporting period. Activities planned and accomplished are eligible under applicable statutes and regulations and were included in the applicable one-year activities in the corresponding Indian Housing Plan.

**Warning:** If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosure of information, including intentional disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

For HUD use only

Date APR is received by HUD

Time

logged in by

## PART I - REPORTING ON THE ONE-YEAR INDIAN HOUSING PLAN (IHP)

One separate Part I (that includes Tables I and II) must be submitted for **each** open grant.

Grant Number: 02IT531280

Enter the date HUD notified you that  
your IHP was found in compliance: 07/25/02

Is this the final APR for this grant? Check one: Yes ☒ No ☐

Each year, you develop goals and objectives and performance objectives in the IHP that describe the use of your IHBG funds. At the end of the program year, you report on the progress made towards achievement of them.

1. Please report on each of the one-year goals and objectives **and** report on each of the performance objectives identified in the IHP for this reporting period only in a format as follows:

**Note:** Goals and Objectives should be reported from inception to the present while the performance objectives should be reported for the reporting period only.

|             |                                  |   |
|-------------|----------------------------------|---|
| GOAL<br># 1 |                                  | <i>Goal as identified in the IHP:</i> maintain the condition of the existing Current Assisted Stock   |
|             | Objective # _____                | <i>Objective as identified in the IHP:</i> (1) complete preventive maintenance on units; (2) replace twenty roofs; (3) power wash 175 roofs; (4) paint exterior of senior apt. building.  |
|             | Performance Objective<br># _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i><br>1. Develop IFB for roofing contractor<br>2. Award contract for roof replacement<br>3. Complete roof replacement<br>4. Develop RFP for painting<br>5. Award painting contract<br>6. Complete painting |
|             | Accomplishment(s)                | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i> All work described has either been completed by this grant, or was completed with a subsequent grant. 100% complete.  |
|             | No accomplishment                | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>   |

|             |                                 |  |
|-------------|---------------------------------|--|
| GOAL<br># 2 |                                 | <i>Goal as identified in the IHP: begin Phase I work on the Mission Highlands subdivision</i>  |
|             | Objective #_____                | <i>Objective as identified in the IHP: provide for funding for the completion of Phase 1 infrastructure</i>  |
|             | Performance Objective<br>#_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: provide for funding for the completion of Phase 1 infrastructure</i>   |
|             | Accomplishment(s)               | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: Work is ongoing on this goal through other grants. No further funds from this grant will be used. This goal is 100% complete with respect to this grant.</i> |
|             | No accomplishment               | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
| GOAL<br># 3 |                                 | <i>Goal as identified in the IHP: administer the self-monitoring program</i>   |
|             | Objective #_____                | <i>Objective as identified in the IHP: administer the self-monitoring program</i>  |
|             | Performance Objective<br>#_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: This goal has been implemented in conjunction with HUD monitoring review.</i>  |
|             | Accomplishment(s)               | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: This goal is 100% complete.</i>  |
|             | No accomplishment               | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |

|             |                              |  |
|-------------|------------------------------|--|
| GOAL<br># 4 |                              | <i>Goal as identified in the IHP: verify the accuracy of plat at John Sam Lake</i>   |
|             | Objective #_____             | <i>Objective as identified in the IHP: hire a surveyor to re-establish property corners</i>  |
|             | Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: hire a surveyor</i>  |
|             | Accomplishment(s)            | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: lots staked and surveying completed. Three Section 184 homes have been constructed on this site. 100% complete</i> |
|             | No accomplishment            | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
| GOAL<br># 5 |                              | <i>Goal as identified in the IHP: Establish a First Time Homebuyers Program</i>  |
|             | Objective #_____             | <i>Objective as identified in the IHP: create a program to assist those tribal members who are of moderate income with the purchase of a home</i>  |
|             | Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: to fund six homebuyer grants in the amount of \$5,000 each</i>   |
|             | Accomplishment(s)            | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: This goal is 100% complete.</i>  |
|             | No accomplishment            | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |

2. Are you on schedule to complete the 5-year goals identified in your IHP?

Check one:      Yes ☒ No ☐

3. If the answer to #2 is no, explain causes for delays and how you plan to modify your program to meet your 5-year goals and objectives.

4. How would you change your programs in general as a result of your experience with the implementation of NAHASDA?

More careful monitoring of the program by the Tribal Government may have circumvented some of the problems that were encountered in 2004 and resulted in takeover of the program by the Tribal Government.



Grant Number:

02IT531280

**Table I - Sources of Funds**

| Sources of Funds for IHBG Activities        | Planned Amount<br>(from the IHP) | Amount<br>Actually<br>Awarded |
|---|----------------------------------|-------------------------------|
| (a)   | (b)                              | (c)                           |
| 1. HUD Resources                            |                                  |                               |
| a. NAHASDA Block Grant                      | 1,664,383                        | 1,664,383                     |
| b. NAHASDA Program Income                   | 139,454                          | 903,226                       |
| c. NAHASDA Title VI (Federal Guarantee)     |                                  |                               |
| d. Section 184 Loan Guarantee               |                                  |                               |
| e. Indian Community Development Block Grant |                                  |                               |
| f. Drug Elimination                         |                                  |                               |
| g. Prior year funds                         |                                  |                               |
| h. Other (explain in narrative)             |                                  |                               |
| 2. Existing Program Resources               |                                  |                               |
| a. 1937 Housing Act Programs                |                                  | 117,963                       |
| b. Other HUD Programs                       |                                  |                               |
| 3. Other Federal or State Resources         |                                  |                               |
| a. BIA Home Improvement Program             |                                  |                               |
| b. Other (explain in narrative)             |                                  |                               |
| 4. Private Resources                        |                                  |                               |
| a. Tribe                                    |                                  |                               |
| b. Financial Institution                    |                                  |                               |
| c. Other (explain in narrative)             |                                  |                               |
| 5. Other (explain in narrative)             |                                  |                               |
| <b>Total Resources</b>                      | <b>1,803,837</b>                 | <b>2,685,572</b>              |

Narrative:

1. If column c is less than column b, explain why the planned funds were not realized.

Grant Number:

02IT531280

**Table II - Uses of Funds**

| Activity   | Budgeted Amount<br>(From the IHP) | Cumulative   |                         |  |  |
|--|-----------------------------------|--|-------------------------|--|--|
|  |                                   | Grant (IHBG) and<br>Program Income<br>funds expended | Other funds<br>expended | Total funds<br>expended from all<br>sources<br>(c + d) | Percentage of<br>IHBG and<br>Program Income<br>Obligated |
| (a)  | (b)                               | (c)  | (d)                     | (e)  | (f)  |
| 1. Indian Housing Assistance<br>(1937 Housing Act units) |                                   |  |                         |  |  |
| a. Modernization   |                                   |  | 200,080                 | 200,080  |  |
| b. Operating   | 1,272,665                         | 1,268,382  | 344,609                 | 1,612,991  | 76.2   |
| 2. Development   |                                   |  |                         |  |  |
| a. Rental  |                                   |  |                         |  |  |
| i. Construction of new units                             |                                   |  |                         |  |  |
| ii. Acquisition  |                                   |  |                         |  |  |
| iii. Rehabilitation                                      |                                   |  |                         |  |  |
| b. Homeownership   |                                   |  |                         |  |  |
| i. Construction of new units                             | 501,172                           | 366,001  | 476,500                 | 842,501  | 22.0   |
| ii. Acquisition  | 30,000                            | 30,000   |                         | 30,000   | 1.8  |
| iii. Rehabilitation                                      |                                   |  |                         |  |  |
| 3. Housing Services                                      |                                   |  |                         |  |  |
| 4. Housing management<br>services                        |                                   |  |                         |  |  |
| 5. Crime Prevention & Safety                             |                                   |  |                         |  |  |
| 6. Model Activities                                      |                                   |  |                         |  |  |
| 7. Planning & administration                             |                                   |  |                         |  |  |
| 8. Reserves  |                                   |  |                         |  |  |
| 9. Other   |                                   |  |                         |  |  |
| <b>Total</b>   | 1,803,387                         | 1,664,383  | 1,021,189               | 2,685,572  | 100.0  |

**Table II (continued)**

| Activity<br>(a)                               | Number of units<br>planned<br>(from the IHP)<br>(g) | Cumulative                          |  |                                      |  |                               |
|---|---|-------------------------------------|--|--------------------------------------|--|-------------------------------|
|   |   | Number of units<br>completed<br>(h) | Number of<br>units started<br>not completed<br>(i) | Number of families assisted          |  |                               |
|   |   |                                     |  | low-income<br>Indian families<br>(j) | non low-income<br>Indian families<br>(k) | non-Native<br>American<br>(l) |
| 1. Indian Housing Assistance (1937 Act units) |   |                                     |  |                                      |  |                               |
| a. Modernization                              | 0   | 36                                  | 0  | 36                                   | 0  | 0                             |
| b. Operating                                  | 270   | 270                                 | 0  | 270                                  | 0  | 0                             |
| 2. Development                                |   |                                     |  |                                      |  |                               |
| a. Rental                                     |   |                                     |  |                                      |  |                               |
| i. Construction of new units                  | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| ii. Acquisition                               | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| iii. Rehabilitation                           | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| a. Homeownership                              |   |                                     |  |                                      |  |                               |
| i. Construction of new units                  | 10  | 0                                   | 10   | 0                                    | 0  | 0                             |
| ii. Acquisition                               | 3   | 6                                   | 0  | 6                                    | 0  | 0                             |
| iii. Rehabilitation                           | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 3. Housing Services                           |   |                                     |  |                                      |  |                               |
| 4. Housing Management Services                |   |                                     |  |                                      |  |                               |
| 5. Crime Prevention & Safety                  | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 6. Model Activities                           | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 7. Planning & administration                  |   |                                     |  |                                      |  |                               |
| 8. Reserves                                   | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 9. Other                                      | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| <b>Total</b>                                  | <b>283</b>  | <b>312</b>                          | <b>10</b>  | <b>312</b>                           | <b>0</b>                                 | <b>0</b>                      |

**Narrative:**

1. Column (a), line item #8, Reserves: Identify the purpose for the funds you placed in this category.

Not applicable.

2. Explain any unexpected cost overruns associated with IHBG funds.

Not applicable.

3. Investments: Date HUD approved: June 1, 2007  
(mm/dd/yy)

Amount approved for investment: \$ 266,967

Amount of IHBG funds (principal only) invested as of this reporting period end date: \$0.00

## PART I - REPORTING ON THE ONE-YEAR INDIAN HOUSING PLAN (IHP)

One separate Part I (that includes Tables I and II) must be submitted for **each** open grant.

Grant Number:

Enter the date HUD notified you that  
your IHP was found in compliance: 06/04/03

Is this the final APR for this grant? Check one: Yes ☒ No ☐

Each year, you develop goals and objectives and performance objectives in the IHP that describe the use of your IHBG funds. At the end of the program year, you report on the progress made towards achievement of them.

2. Please report on each of the one-year goals and objectives **and** report on each of the performance objectives identified in the IHP for this reporting period only in a format as follows:

**Note:** Goals and Objectives should be reported from inception to the present while the performance objectives should be reported for the reporting period only.

|             |                               |   |
|-------------|-------------------------------|---|
| GOAL<br># 1 |                               | <i>Goal as identified in the IHP:</i> maintain the condition of the current assisted stock  |
|             | Objective # _____             | <i>Objective as identified in the IHP:</i> by employing experienced maintenance staff, performing preventive maintenance and using a work order process, work will be completed   |
|             | Performance Objective # _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> <ol style="list-style-type: none"> <li>1. Install new roofs for twenty rental units.</li> <li>2. Complete exterior painting of 30 rental units.</li> <li>3. Rehab five rental units</li> <li>4. Install ventilation units for mold abatement</li> </ol> |
|             | Accomplishment(s)             | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i> All work described has either been completed by this grant, or was completed with a subsequent grant. 100% complete.  |
|             | No accomplishment             | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>   |

|             |                               |  |
|-------------|-------------------------------|--|
| GOAL<br># 2 |                               | <i>Goal as identified in the IHP:</i> continue First Time Homebuyers program   |
|             | Objective # _____             | <i>Objective as identified in the IHP:</i> provide homeownership opportunities for Tulalip tribal members  |
|             | Performance Objective # _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> take applications, screen for eligibility and assist families  |
|             | Accomplishment(s)             | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i> this goal is 100% complete   |
|             | No accomplishment             | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
| GOAL<br># 3 |                               | <i>Goal as identified in the IHP:</i> continue Mutual Help Homeownership Home Improvement Loan Program   |
|             | Objective # _____             | <i>Objective as identified in the IHP:</i> to improve the living conditions of families living in MH housing through providing loans for home improvements   |
|             | Performance Objective # _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> take applications; process for eligibility; provide loans as available                                       |
|             | Accomplishment(s)             | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i> families have been assisted as funds have become available through paybacks. This goal is 100% complete. |
|             | No accomplishment             | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |

|             |                               |  |
|-------------|-------------------------------|--|
| GOAL<br># 4 |                               | <i>Goal as identified in the IHP:</i> develop low income rental units  |
|             | Objective # _____             | <i>Objective as identified in the IHP:</i> provide additional housing opportunities for low income families  |
|             | Performance Objective # _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> perform procurement and contract with developer; complete construction and/or acquisition of new units |
|             | Accomplishment(s)             | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i><br>Senior Duplexes completed.  |
|             | No accomplishment             | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
| GOAL<br># 5 |                               | <i>Goal as identified in the IHP:</i> Develop new low income homeownership units   |
|             | Objective # _____             | <i>Objective as identified in the IHP:</i> provide homeownership opportunities through the construction of new housing   |
|             | Performance Objective # _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> perform procurement and contract with developer; complete construction and/or acquisition of new units |
|             | Accomplishment(s)             | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i><br>This goal will be eliminated for this IHBG.   |
|             | No accomplishment             | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i> Goal to be eliminated.   |
| GOAL<br># 6 |                               | <i>Goal as identified in the IHP:</i> Acquire housing units.   |

GOAL  
# 7

|                              |  |
|------------------------------|--|
| Objective #_____             | <i>Objective as identified in the IHP: provide homeownership or rental opportunities through the acquisition of new housing</i>  |
| Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: perform procurement and contract with developer; complete construction and/or acquisition of new units</i>   |
| Accomplishment(s)            | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: Purchased two additional homes.</i>  |
| No accomplishment            | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
|                              | <i>Goal as identified in the IHP: Develop Mission Highlands housing site.</i>  |
| Objective #_____             | <i>Objective as identified in the IHP: provide homeownership opportunities through the construction of new housing</i>   |
| Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: perform procurement and contract with developer; apply for additional grant funds.</i>   |
| Accomplishment(s)            | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: Work is ongoing on this goal through other grants. No further funds from this grant will be used. This goal is 100% complete with respect to this grant.</i> |
| No accomplishment            | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
|                              | <i>Goal as identified in the IHP: Acquire Land.</i>  |
| Objective #_____             | <i>Objective as identified in the IHP: land will be acquired on which to place a water storage tank for the Mission Highlands development.</i>   |

GOAL  
# 8



|                                  |  |
|----------------------------------|--|
| Performance Objective<br># _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: work with tribal real estate to identify and procure appropriate land;</i>   |
| Accomplishment(s)                | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:<br/>Work is ongoing on this goal through other grants. This goal to be eliminated; storage tank to be placed onsite.</i> |
| No accomplishment                | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |

3. Are you on schedule to complete the 5-year goals identified in your IHP?

Check one:      Yes ☒ No ☐

4. If the answer to #2 is no, explain causes for delays and how you plan to modify your program to meet your 5-year goals and objectives.

5. How would you change your programs in general as a result of your experience with the implementation of NAHASDA?

More careful monitoring of the program by the Tribal Government may have circumvented some of the problems that were encountered in 2004 and resulted in takeover of the program by the Tribal Government.

Grant Number:

03IT531280

**Table I - Sources of Funds**

| Sources of Funds for IHBG Activities        | Planned Amount<br>(from the IHP) | Amount<br>Actually<br>Awarded |
|---|----------------------------------|-------------------------------|
| (a)   | (b)                              | (c)                           |
| 1. HUD Resources                            |                                  |                               |
| a. NAHASDA Block Grant                      | 1,720,484                        | 1,720,484                     |
| b. NAHASDA Program Income                   | 140,781                          | 1,474,806                     |
| c. NAHASDA Title VI (Federal Guarantee)     |                                  |                               |
| d. Section 184 Loan Guarantee               |                                  |                               |
| e. Indian Community Development Block Grant |                                  |                               |
| f. Drug Elimination                         |                                  |                               |
| g. Prior year funds                         |                                  |                               |
| h. Other (explain in narrative)             |                                  |                               |
| 2. Existing Program Resources               |                                  |                               |
| a. 1937 Housing Act Programs                |                                  |                               |
| b. Other HUD Programs                       |                                  |                               |
| 3. Other Federal or State Resources         |                                  |                               |
| a. BIA Home Improvement Program             |                                  |                               |
| b. Other (explain in narrative)             |                                  |                               |
| 4. Private Resources                        |                                  |                               |
| a. Tribe                                    |                                  |                               |
| b. Financial Institution                    |                                  |                               |
| c. Other (explain in narrative)             |                                  |                               |
| 5. Other (explain in narrative)             |                                  |                               |
| <b>Total Resources</b>                      | <b>1,861,265</b>                 | <b>3,195,290</b>              |

Narrative:

1. If column c is less than column b, explain why the planned funds were not realized.

Not applicable.

Grant Number:

03IT531280

**Table II - Uses of Funds**

| Activity   | Budgeted Amount<br>(From the IHP) | Cumulative                                    |                         |  |   |
|--|-----------------------------------|---|-------------------------|--|---|
|  |                                   | Grant and<br>Program Income<br>funds expended | Other funds<br>expended | Total funds<br>expended from all<br>sources<br>(c + d) | Percentage of<br>Grant and<br>Program Income<br>Obligated |
| (a)  | (b)                               | (c)   | (d)                     | (e)  | (f)   |
| 1. Indian Housing Assistance<br>(1937 Housing Act units) |                                   |   |                         |  |   |
| a. Modernization   | 100,000                           | 34,892  | 149,194                 | 184,086  | 2.0   |
| b. Operating   | 717,318                           | 535,980                                       | 975,735                 | 1,511,715  | 31.1  |
| 2. Development   |                                   |   |                         |  |   |
| a. Rental  |                                   |   |                         |  |   |
| i. Construction of new units                             | 338,310                           | 959,720                                       | 0                       | 959,720  | 55.8  |
| ii. Acquisition  | 200,000                           | 164,892                                       | 0                       | 164,892  | 9.6   |
| iii. Rehabilitation                                      |                                   |   |                         |  |   |
| b. Homeownership   |                                   |   |                         |  |   |
| i. Construction of new units                             | 0                                 | 0   | 349,877                 | 349,877  | 0.0   |
| ii. Acquisition  | 30,000                            | 25,000  | 0                       | 25,000   | 1.5   |
| iii. Rehabilitation                                      |                                   |   |                         |  |   |
| 3. Housing Services                                      | 133,745                           | 0   | 0                       | 0  | 0   |
| 4. Housing management<br>services                        |                                   |   |                         |  |   |
| 5. Crime Prevention & Safety                             | 5,000                             | 0   | 0                       | 0  | 0   |
| 6. Model Activities                                      | 10,000                            | 0   | 0                       | 0  | 0   |
| 7. Planning & administration                             | 326,892                           | 0   | 0                       | 0  | 0   |
| 8. Reserves  |                                   |   |                         |  |   |
| 9. Other   |                                   |   |                         |  |   |
| <b>Total</b>   | <b>1,861,265</b>                  | <b>1,720,484</b>                              | <b>1,474,806</b>        | <b>3,195,290</b>                                       | <b>100.0</b>  |

**Table II (continued)**

| Activity<br>(a)                               | Number of units<br>planned<br>(From the IHP)<br>(g) | Cumulative                          |  |                                      |  |                               |
|---|---|-------------------------------------|--|--------------------------------------|--|-------------------------------|
|   |   | Number of units<br>completed<br>(h) | Number of<br>units started<br>not completed<br>(i) | Number of families assisted          |  |                               |
|   |   |                                     |  | Low-income<br>Indian families<br>(j) | Non low-income<br>Indian families<br>(k) | Non-Native<br>American<br>(l) |
| 2. Indian Housing Assistance (1937 Act units) |   |                                     |  |                                      |  |                               |
| c. Modernization                              | 5   | 5                                   | 0  | 5                                    | 0  | 0                             |
| d. Operating                                  | 267   | 267                                 | 0  | 267                                  | 0  | 0                             |
| 3. Development                                |   |                                     |  |                                      |  |                               |
| b. Rental                                     |   |                                     |  |                                      |  |                               |
| iv. Construction of new units                 | 10  | 10                                  | 0  | 10                                   | 0  | 0                             |
| v. Acquisition                                | 2   | 0                                   | 0  | 0                                    | 0  | 0                             |
| vi. Rehabilitation                            | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| b. Homeownership                              |   |                                     |  |                                      |  |                               |
| iv. Construction of new units                 | 0   | 6                                   | 0  | 6                                    | 0  | 0                             |
| v. Acquisition                                | 6   | 5                                   | 0  | 5                                    | 0  | 0                             |
| vi. Rehabilitation                            | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 10. Housing Services                          |   |                                     |  |                                      |  |                               |
| 11. Housing Management Services               |   |                                     |  |                                      |  |                               |
| 12. Crime Prevention & Safety                 | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 13. Model Activities                          | 00  | 00                                  | 0  | 0                                    | 0  | 0                             |
| 14. Planning & administration                 |   |                                     |  |                                      |  |                               |
| 15. Reserves                                  | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 16. Other                                     | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| <b>Total</b>                                  | 290   | 293                                 | 0  | 293                                  | 0  | 0                             |

**Narrative:**

4. Column (a), line item #8, Reserves: Identify the purpose for the funds you placed in this category.

Not applicable.

5. Explain any unexpected cost overruns associated with IHBG funds.

6. Investments: Date HUD approved: June 1, 2007  
(mm/dd/yy)

Amount approved for investment: \$ 543,565

Amount of IHBG funds (principal only) invested as of this reporting period end date: \$ 0.00

## PART I - REPORTING ON THE ONE-YEAR INDIAN HOUSING PLAN (IHP)

One separate Part I (that includes Tables I and II) must be submitted for **each** open grant.

Grant Number:

Enter the date HUD notified you that  
your IHP was found in compliance: 07/01/04

Is this the final APR for this grant? Check one: Yes ☒ No ☐

Each year, you develop goals and objectives and performance objectives in the IHP that describe the use of your IHBG funds. At the end of the program year, you report on the progress made towards achievement of them.

3. Please report on each of the one-year goals and objectives **and** report on each of the performance objectives identified in the IHP for this reporting period only in a format as follows:

**Note:** Goals and Objectives should be reported from inception to the present while the performance objectives should be reported for the reporting period only.

|             |                                  |   |
|-------------|----------------------------------|---|
| GOAL<br># 1 |                                  | <i>Goal as identified in the IHP: maintain condition of 1937 Act units</i>  |
|             | Objective # _____                | <i>Objective as identified in the IHP: (1) employ experienced maintenance staff; (2) perform preventive maintenance; (3) maintain strong work order process</i>   |
|             | Performance Objective<br># _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: (1) pressure wash roofs; (2) install twenty new roofs; (3) paint twenty units; (4) rehab eight rental units</i> |
|             | Accomplishment(s)                | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: not started</i>   |
|             | No accomplishment                | <i>If no progress was made, explain why not and describe what you will do to complete the activities: these funds will be used towards infrastructure development in 2007-2008.</i>                                       |

|             |                              |   |
|-------------|------------------------------|---|
| GOAL<br># 2 |                              | <i>Goal as identified in the IHP: Maintain First-time Homebuyers program</i>  |
|             | Objective #_____             | <i>Objective as identified in the IHP: meet the needs of low income tribal families who want to construct a home</i>  |
|             | Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: make funds available using preference list</i>                            |
|             | Accomplishment(s)            | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: not started</i>   |
|             | No accomplishment            | <i>If no progress was made, explain why not and describe what you will do to complete the activities: these funds will be used towards infrastructure development in 2007-2008.</i> |
| GOAL<br># 3 |                              | <i>Goal as identified in the IHP: maintain Mutual Help Homeownership Home Improvement Loan program</i>  |
|             | Objective #_____             | <i>Objective as identified in the IHP: assist MH families with funds to undertake repairs</i>   |
|             | Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: Loans will be recycled from previous years for new families</i>           |
|             | Accomplishment(s)            | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: not started.</i>  |
|             | No accomplishment            | <i>If no progress was made, explain why not and describe what you will do to complete the activities: these funds will be used towards infrastructure development in 2007-2008.</i> |



|             |                                 |   |
|-------------|---------------------------------|---|
| GOAL<br># 4 |                                 | <i>Goal as identified in the IHP: Develop new rental units</i>  |
|             | Objective #_____                | <i>Objective as identified in the IHP: continue development of the Mission Highlands site.</i>  |
|             | Performance Objective<br>#_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: funds from previous IHP's will be redirected to this goal. Infrastructure development to be started.</i>  |
|             | Accomplishment(s)               | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: not started. Work is ongoing on this goal through other grants. No further funds from this grant will be used. This goal is 100% complete with respect to this grant.</i> |
|             | No accomplishment               | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>   |

4. Are you on schedule to complete the 5-year goals identified in your IHP?

Check one:      Yes ☒ No ☐

5. If the answer to #2 is no, explain causes for delays and how you plan to modify your program to meet your 5-year goals and objectives.

6. How would you change your programs in general as a result of your experience with the implementation of NAHASDA?

More careful monitoring of the program by the Tribal Government may have circumvented some of the problems that were encountered in 2004 and resulted in takeover of the program by the Tribal Government.

Grant Number:

04IT531280

**Table I - Sources of Funds**

| Sources of Funds for IHBG Activities        | Planned Amount<br>(from the IHP) | Amount<br>Actually<br>Awarded |
|---|----------------------------------|-------------------------------|
| (a)   | (b)                              | (c)                           |
| 1. HUD Resources                            |                                  |                               |
| a. NAHASDA Block Grant                      | 2,054,486                        | 2,054,486                     |
| b. NAHASDA Program Income                   | 219,080                          | 279,380                       |
| c. NAHASDA Title VI (Federal Guarantee)     |                                  |                               |
| d. Section 184 Loan Guarantee               |                                  |                               |
| e. Indian Community Development Block Grant |                                  |                               |
| f. Drug Elimination                         |                                  |                               |
| g. Prior year funds                         |                                  |                               |
| h. Other (explain in narrative)             |                                  |                               |
| 2. Existing Program Resources               |                                  |                               |
| a. 1937 Housing Act Programs                |                                  |                               |
| b. Other HUD Programs                       |                                  |                               |
| 3. Other Federal or State Resources         |                                  |                               |
| a. BIA Home Improvement Program             |                                  |                               |
| b. Other (explain in narrative)             |                                  |                               |
| 4. Private Resources                        |                                  |                               |
| a. Tribe                                    |                                  |                               |
| b. Financial Institution                    |                                  |                               |
| c. Other (explain in narrative)             |                                  |                               |
| 5. Other (explain in narrative)             |                                  |                               |
| <b>Total Resources</b>                      | 2,562,934                        | 2,333,866                     |

Narrative:

1. If column c is less than column b, explain why the planned funds were not realized.

Grant Number:

04IT531280

**Table II - Uses of Funds**

| Activity   | Budgeted Amount<br>(From the IHP) | Cumulative                                   |                         |  |   |
|--|-----------------------------------|--|-------------------------|--|---|
|  |                                   | IHBG and<br>Program Income<br>funds expended | Other funds<br>expended | Total funds<br>expended from all<br>sources<br>(c + d) | Percentage of<br>IHBG and Program<br>Income Obligated |
| (a)  | (b)                               | (c)  | (d)                     | (e)  | (f)   |
| 1. Indian Housing Assistance<br>(1937 Housing Act units) |                                   |  |                         |  |   |
| a. Modernization   | 200,000                           | 24,176                                       | 0                       | 24,176   | 1.2   |
| b. Operating   | 923,722                           | 0  | 241,870                 | 241,870  | 0.0   |
| 2. Development   |                                   |  |                         |  |   |
| a. Rental  |                                   |  |                         |  |   |
| i. Construction of new units                             | 864,484                           | 1,851,463                                    | 0                       | 1,851,463  | 90.1  |
| ii. Acquisition  |                                   |  |                         |  |   |
| iii. Rehabilitation                                      |                                   |  |                         |  |   |
| b. Homeownership   |                                   |  |                         |  |   |
| i. Construction of new units                             |                                   |  |                         |  |   |
| ii. Acquisition  | 30,000                            | 10,000                                       | 0                       | 10,000   | 0.5   |
| iii. Rehabilitation                                      |                                   |  |                         |  |   |
| 3. Housing Services                                      | 131,434                           | 0  | 0                       | 0  | 0   |
| 4. Housing management<br>services                        |                                   |  |                         |  |   |
| 5. Crime Prevention & Safety                             | 5,000                             | 0  | 0                       | 0  | 0   |
| 6. Model Activities                                      | 9,468                             | 0  | 0                       | 0  | 0   |
| 7. Planning & administration                             | 398,826                           | 168,847                                      | 37,510                  | 206,357  | 8.2   |
| 8. Reserves  |                                   |  |                         |  |   |
| 9. Other   |                                   |  |                         |  |   |
| <b>Total</b>   | <b>2,562,934</b>                  | <b>2,054,486</b>                             | <b>279,380</b>          | <b>2,333,866</b>                                       | <b>100.0</b>  |

Table II (continued)

| Activity<br>(a)                               | Number of units<br>planned<br>(From the IHP)<br>(g) | Cumulative                          |  |                                      |  |                               |
|---|---|-------------------------------------|--|--------------------------------------|--|-------------------------------|
|   |   | Number of units<br>completed<br>(h) | Number of<br>units started<br>not completed<br>(i) | Number of families assisted          |  |                               |
|   |   |                                     |  | low-income<br>Indian families<br>(j) | non low-income<br>Indian families<br>(k) | non-Native<br>American<br>(l) |
| 3. Indian Housing Assistance (1937 Act units) |   |                                     |  |                                      |  |                               |
| e. Modernization                              | 8   | 0                                   | 0  | 0                                    | 0  | 0                             |
| f. Operating                                  | 265   | 265                                 | 0  | 265                                  | 0  | 0                             |
| 4. Development                                |   |                                     |  |                                      |  |                               |
| c. Rental                                     |   |                                     |  |                                      |  |                               |
| vii. Construction of new units                | 48  | 0                                   | 0  | 0                                    | 0  | 0                             |
| viii. Acquisition                             | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| ix. Rehabilitation                            | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| c. Homeownership                              |   |                                     |  |                                      |  |                               |
| vii. Construction of new units                | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| viii. Acquisition                             | 6   | 2                                   | 0  | 2                                    | 0  | 0                             |
| ix. Rehabilitation                            | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 17. Housing Services                          |   |                                     |  |                                      |  |                               |
| 18. Housing Management Services               |   |                                     |  |                                      |  |                               |
| 19. Crime Prevention & Safety                 | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 20. Model Activities                          | 17  | 00                                  | 0  | 0                                    | 0  | 0                             |
| 21. Planning & administration                 |   |                                     |  |                                      |  |                               |
| 22. Reserves                                  | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 23. Other                                     | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| <b>Total</b>                                  | <b>344</b>  | <b>267</b>                          | <b>0</b>   | <b>267</b>                           | <b>0</b>                                 | <b>0</b>                      |

Narrative:

7. Column (a), line item #8, Reserves: Identify the purpose for the funds you placed in this category.

Not applicable.

8. Explain any unexpected cost overruns associated with IHBG funds.

2004 Funds have been used for maintenance and operations for eighteen months because other grant funds have been restricted and because it was decided to only use one grant while accounting records are be reconstructed.

9. Investments: Date HUD approved: June 1, 2007  
(mm/dd/yy)

Amount approved for investment: \$ 1,062,082

Amount of IHBG funds (principal only) invested as of this reporting period end date: \$ 0.00

## PART I - REPORTING ON THE ONE-YEAR INDIAN HOUSING PLAN (IHP)

One separate Part I (that includes Tables I and II) must be submitted for **each** open grant.

Grant Number:

Enter the date HUD notified you that  
your IHP was found in compliance: 10/27/05

Is this the final APR for this grant? Check one: Yes ☐ No ☒

Each year, you develop goals and objectives and performance objectives in the IHP that describe the use of your IHBG funds. At the end of the program year, you report on the progress made towards achievement of them.

4. Please report on each of the one-year goals and objectives **and** report on each of the performance objectives identified in the IHP for this reporting period only in a format as follows:

**Note:** Goals and Objectives should be reported from inception to the present while the performance objectives should be reported for the reporting period only.

|             |                                  |  |
|-------------|----------------------------------|--|
| GOAL<br># 1 |                                  | <i>Goal as identified in the IHP:</i> Administer existing housing programs   |
|             | Objective # 05-1a                | <i>Objective as identified in the IHP:</i> Administer Rental Housing Program   |
|             | Objective #05-1b                 | <i>Objective as identified in the IHP:</i> Administer Mutual Help Housing Program  |
|             | Performance Objective<br># _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> Ensure that existing programs continue to be operated according to the policies of the Department. |
|             | Accomplishment(s)                | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i><br>Programs have been operated according to policies.  |
|             | No accomplishment                | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i> This goal 100% complete.   |

|             |                              |  |
|-------------|------------------------------|--|
| GOAL<br># 2 |                              | <i>Goal as identified in the IHP:</i> Eliminate substandard housing.   |
|             | Objective 05-2a              | <i>Objective as identified in the IHP:</i> IHBG Rehab Program – Tribally managed housing. Perform inspections, write Scope of Work, award contracts and complete rehab work on homes designated for rehab under the IHBG Program.  |
|             | Objective 05-2b              | <i>Objective as identified in the IHP:</i> IHBG Rehab Program – privately-owned housing. On as needed basis, funds will be provided to perform repairs to privately owned homes of low-income families. Repairs will be limited to those necessary to eliminate conditions affecting the health and/or safety of the occupants. Elderly families will have preference. |
|             | Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> Perform inspections, write Scope of Work, award contracts and complete rehab work on homes designated for rehab under the IHBG Program.  |
|             | Accomplishment(s)            | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i> have worked on drainage issues at Silver Village site; also purchased storage units for Y-Site development; also performed renovations of vacant homes.  |
|             | No accomplishment            | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
| GOAL<br># 3 |                              | <i>Goal as identified in the IHP:</i> Provide additional housing opportunities.  |
|             | Objective 05-3a              | <i>Objective as identified in the IHP:</i> Develop transitional housing.   |
|             | Objective 05-3b              | <i>Objective as identified in the IHP:</i> Implement Rental Assistance Program   |
|             | Objective 05-3c              | <i>Objective as identified in the IHP:</i> Implement Down Payment Assistance Program   |



|                              |   |
|------------------------------|---|
| Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP: Write policies and procedures; perform outreach; implement programs.</i>  |
| Accomplishment(s)            | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective: Agreement has been entered into with Tribe to provide funds for tribal rental assistance program. Funds have been provided.</i> |
| No accomplishment            | <i>If no progress was made, explain why not and describe what you will do to complete the activities: Will start in 2007.</i>   |

5. Are you on schedule to complete the 5-year goals identified in your IHP?

Check one:      Yes ☒ No ☐

6. If the answer to #2 is no, explain causes for delays and how you plan to modify your program to meet your 5-year goals and objectives.

7. How would you change your programs in general as a result of your experience with the implementation of NAHASDA?

More careful monitoring of the program by the Tribal Government may have circumvented some of the problems that were encountered in 2004 and resulted in takeover of the program by the Tribal Government.

Grant Number:

05IT531280

**Table I - Sources of Funds**

| Sources of Funds for IHBG Activities        | Planned Amount<br>(from the IHP) | Amount<br>Actually<br>Awarded |
|---|----------------------------------|-------------------------------|
| (a)   | (b)                              | (c)                           |
| <b>1. HUD Resources</b>                     |                                  |                               |
| a. NAHASDA Block Grant                      | 2,047,618                        | 2,047,618                     |
| b. NAHASDA Program Income                   | 0                                | 1,645,697                     |
| c. NAHASDA Title VI (Federal Guarantee)     |                                  |                               |
| d. Section 184 Loan Guarantee               |                                  |                               |
| e. Indian Community Development Block Grant |                                  |                               |
| f. Drug Elimination                         |                                  |                               |
| g. Prior year funds                         |                                  |                               |
| h. Other <b>(explain in narrative)</b>      |                                  |                               |
| <b>2. Existing Program Resources</b>        |                                  |                               |
| a. 1937 Housing Act Programs                |                                  |                               |
| b. Other HUD Programs                       |                                  |                               |
| <b>3. Other Federal or State Resources</b>  |                                  |                               |
| a. BIA Home Improvement Program             |                                  |                               |
| b. Other <b>(explain in narrative)</b>      |                                  |                               |
| <b>4. Private Resources</b>                 |                                  |                               |
| a. Tribe                                    |                                  |                               |
| b. Financial Institution                    |                                  |                               |
| c. Other : payments from refinanced units   |                                  |                               |
| <b>5. Other (explain in narrative)</b>      |                                  |                               |
| <b>Total Resources</b>                      | 2,047,618                        | 3,693,315                     |

Narrative:

1. If column c is less than column b, explain why the planned funds were not realized.

Grant Number:

05IT531280

**Table II - Uses of Funds**

| Activity   | Budgeted Amount<br>(From the IHP) | Cumulative                                    |                         |  |   |
|--|-----------------------------------|---|-------------------------|--|---|
|  |                                   | Grant and<br>Program Income<br>funds expended | Other funds<br>expended | Total funds<br>expended from all<br>sources<br>(c + d) | Percentage of<br>IHBG and Program<br>Income Obligated |
| (a)  | (b)                               | (c)   | (d)                     | (e)  | (f)   |
| 1. Indian Housing Assistance<br>(1937 Housing Act units) |                                   |   |                         |  |   |
| a. Modernization   | 533,650                           | 361,030                                       | 0                       | 361,030  | 17.6  |
| b. Operating   | 636,058                           | 969,660                                       | 1,070,109               | 2,039,769  | 47.4  |
| 2. Development   |                                   |   |                         |  |   |
| a. Rental  |                                   |   |                         |  |   |
| i. Construction of new units                             | 371,215                           | 5,293   | 0                       | 5,293  | 0.3   |
| ii. Acquisition  |                                   |   |                         |  |   |
| iii. Rehabilitation                                      |                                   |   |                         |  |   |
| b. Homeownership   |                                   |   |                         |  |   |
| i. Construction of new units                             |                                   |   |                         |  |   |
| ii. Acquisition  | 100,000                           | 0   | 0                       | 0  | 0   |
| iii. Rehabilitation                                      |                                   |   |                         |  |   |
| 3. Housing Services                                      |                                   |   |                         |  |   |
| 4. Housing management<br>services                        | 60,000                            | 60,000  | 0                       | 60,000   | 2.9   |
| 5. Crime Prevention & Safety                             | 80,000                            | 0   | 0                       | 0  | 0   |
| 6. Model Activities                                      | 10,000                            | 10,000  | 0                       | 10,000   | 0.5   |
| 7. Planning & administration                             | 256,695                           | 409,523                                       | 93,944                  | 503,467  | 20.0  |
| 8. Reserves  |                                   |   |                         |  |   |
| 9. Other   |                                   |   |                         |  |   |
| <b>Total</b>   | <b>2,047,618</b>                  | <b>1,815,506</b>                              | <b>1,164,053</b>        | <b>2,979,559</b>                                       | <b>88.7</b>   |

**Table II (continued)**

|   |   | Cumulative                       |  |                                   |                                       |                            |
|---|---|----------------------------------|--|-----------------------------------|---------------------------------------|----------------------------|
| Activity                                      | Number of units planned<br>(From the IHP) | Number of units completed<br>(h) | Number of units started not completed<br>(i) | Number of families assisted       |                                       |                            |
| (a)   | (g)                                       |                                  |  | low-income Indian families<br>(j) | non low-income Indian families<br>(k) | non-Native American<br>(l) |
|   |   |                                  |  |                                   |                                       |                            |
| 4. Indian Housing Assistance (1937 Act units) |   |                                  |  |                                   |                                       |                            |
| g. Modernization                              | 25  | 25                               | 0  | 25                                | 0                                     | 0                          |
| h. Operating                                  | 260                                       | 260                              | 0  | 260                               | 0                                     | 0                          |
| 5. Development                                |   |                                  |  |                                   |                                       |                            |
| d. Rental                                     |   |                                  |  |                                   |                                       |                            |
| x. Construction of new units                  | 35  | 0                                | 0  | 0                                 | 0                                     | 0                          |
| xi. Acquisition                               | 0   | 0                                | 0  | 0                                 | 0                                     | 0                          |
| xii. Rehabilitation                           | 0   | 0                                | 0  | 0                                 | 0                                     | 0                          |
| d. Homeownership                              |   |                                  |  |                                   |                                       |                            |
| x. Construction of new units                  | 10  | 0                                | 0  | 0                                 | 0                                     | 0                          |
| xi. Acquisition                               | 0   | 0                                | 0  | 0                                 | 0                                     | 0                          |
| xii. Rehabilitation                           | 0   | 0                                | 0  | 0                                 | 0                                     | 0                          |
| 24. Housing Services                          |   |                                  |  |                                   |                                       |                            |
| 25. Housing Management Services               |   |                                  |  |                                   |                                       |                            |
| 26. Crime Prevention & Safety                 | 0   | 0                                | 0  | 0                                 | 0                                     | 0                          |
| 27. Model Activities                          | 24  | 24                               | 0  | 24                                | 0                                     | 0                          |
| 28. Planning & administration                 |   |                                  |  |                                   |                                       |                            |
| 29. Reserves                                  | 0   | 0                                | 0  | 0                                 | 0                                     | 0                          |
| 30. Other                                     | 0   | 0                                | 0  | 0                                 | 0                                     | 0                          |
| Total   | 354                                       | 309                              | 0  | 285                               | 0                                     | 0                          |

Narrative:

10. Column (a), line item #8, Reserves: Identify the purpose for the funds you placed in this category.

11. Explain any unexpected cost overruns associated with IHBG funds.

12. Investments: Date HUD approved: June 1, 2007  
(mm/dd/yy)

Amount approved for investment: \$0.00

Amount of IHBG funds (principal only) invested as of this reporting period end date: \$ 0.00

## PART I - REPORTING ON THE ONE-YEAR INDIAN HOUSING PLAN (IHP)

One separate Part I (that includes Tables I and II) must be submitted for **each** open grant.

Grant Number: 06IT531280

Enter the date HUD notified you that  
your IHP was found in compliance: 03/24/06

Is this the final APR for this grant? Check one: Yes ☐ No ☒

Each year, you develop goals and objectives and performance objectives in the IHP that describe the use of your IHBG funds. At the end of the program year, you report on the progress made towards achievement of them.

5. Please report on each of the one-year goals and objectives **and** report on each of the performance objectives identified in the IHP for this reporting period only in a format as follows:

**Note:** Goals and Objectives should be reported from inception to the present while the performance objectives should be reported for the reporting period only.

|             |                                  |  |
|-------------|----------------------------------|--|
| GOAL<br># 1 |                                  | <i>Goal as identified in the IHP:</i> Administer existing housing programs   |
|             | Objective # 06-1a                | <i>Objective as identified in the IHP:</i> Administer Rental Housing Program   |
|             | Objective #06-1b                 | <i>Objective as identified in the IHP:</i> Administer Mutual Help Housing Program  |
|             | Performance Objective<br># _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> Ensure that existing programs continue to be operated according to the policies of the Department. |
|             | Accomplishment(s)                | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i><br>This goal is 100% complete.   |
|             | No accomplishment                | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |

|             |                              |  |
|-------------|------------------------------|--|
| GOAL<br># 2 |                              | <i>Goal as identified in the IHP:</i> Eliminate substandard housing.   |
|             | Objective 06-2a              | <i>Objective as identified in the IHP:</i> IHBG Rehab Program – Tribally managed housing. Perform inspections, write Scope of Work, award contracts and complete rehab work on homes designated for rehab under the IHBG Program.  |
|             | Objective 06-2b              | <i>Objective as identified in the IHP:</i> IHBG Rehab Program – privately-owned housing. On as needed basis, funds will be provided to perform repairs to privately owned homes of low-income families. Repairs will be limited to those necessary to eliminate conditions affecting the health and/or safety of the occupants. Elderly families will have preference.                         |
|             | Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> Perform inspections, write Scope of Work, award contracts and complete rehab work on homes designated for rehab under the IHBG Program.  |
|             | Accomplishment(s)            | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i><br>Families selected; one home started.  |
|             | No accomplishment            | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
| GOAL<br># 3 |                              | <i>Goal as identified in the IHP:</i> Provide additional housing opportunities.  |
|             | Objective 06-3a              | <i>Objective as identified in the IHP:</i> Infrastructure development.   |
|             | Objective 06-3b              | <i>Objective as identified in the IHP:</i> Low Income Housing Tax Credit Project.  |
|             | Performance Objective #_____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> (1) Funds will be used to build roads and install utilities, to ready the sites for new housing construction. (2) The TTHD successfully applied for Low Income Housing Tax Credits to develop low-income rental housing and the rehabilitation of existing rental housing units. |

|                   |  |
|-------------------|--|
|                   |  |
| Accomplishment(s) | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:<br/>Site development is approximately 60% complete as of 12/31/07.</i> |
| No accomplishment | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |

6. Are you on schedule to complete the 5-year goals identified in your IHP?

Check one: Yes ☒ No ☐

7. If the answer to #2 is no, explain causes for delays and how you plan to modify your program to meet your 5-year goals and objectives.

8. How would you change your programs in general as a result of your experience with the implementation of NAHASDA?

More careful monitoring of the program by the Tribal Government may have circumvented some of the problems that were encountered in 2004 and resulted in takeover of the program by the Tribal Government.



Grant Number: 06IT531280

**Table I - Sources of Funds**

| Sources of Funds for IHBG Activities        | Planned Amount<br>(from the IHP) | Amount<br>Actually<br>Awarded |
|---|----------------------------------|-------------------------------|
| (a)   | (b)                              | (c)                           |
| 1. HUD Resources                            |                                  |                               |
| a. NAHASDA Block Grant                      | 1,833,252                        | 1,833,252                     |
| b. NAHASDA Program Income                   | 730,607                          | 0                             |
| c. NAHASDA Title VI (Federal Guarantee)     |                                  |                               |
| d. Section 184 Loan Guarantee               |                                  |                               |
| e. Indian Community Development Block Grant |                                  | 300,000                       |
| f. Drug Elimination                         |                                  |                               |
| g. Prior year funds                         |                                  |                               |
| h. Other (explain in narrative)             |                                  |                               |
| 2. Existing Program Resources               |                                  |                               |
| a. 1937 Housing Act Programs                |                                  |                               |
| b. Other HUD Programs                       |                                  |                               |
| 3. Other Federal or State Resources         |                                  |                               |
| a. BIA Home Improvement Program             |                                  |                               |
| b. Other (LIHTC #1)                         |                                  | 2,534,747                     |
| 4. Private Resources                        |                                  |                               |
| a. Tribe                                    |                                  |                               |
| b. Financial Institution (AHP)              |                                  | 350,000                       |
| c. Other : payments from refinanced units   |                                  |                               |
| 5. Other (explain in narrative)             |                                  |                               |
| <b>Total Resources</b>                      | <b>2,563,859</b>                 | <b>5,017,999</b>              |

Narrative:

1. If column c is less than column b, explain why the planned funds were not realized.

2007 Program Income credited against 2005 IHBG.

Grant Number:

06IT531280

**Table II - Uses of Funds**

| Activity   | Budgeted Amount<br>(From the IHP) | Cumulative                                       |                         |  |  |
|--|-----------------------------------|--|-------------------------|--|--|
|  |                                   | Grant and<br>Program<br>Income funds<br>expended | Other funds<br>expended | Total funds<br>expended from all<br>sources<br>(c + d) | Percentage of<br>IHBG and<br>Program Income<br>Obligated |
| (a)  | (b)                               | (c)  | (d)                     | (e)  | (f)  |
| 1. Indian Housing Assistance<br>(1937 Housing Act units) |                                   |  |                         |  |  |
| a. Modernization   | 128,144                           | 296,566  | 0                       | 296,566  | 16.2   |
| b. Operating   | 1,459,065                         | 822,460  | 0                       | 822,460  | 44.9   |
| 2. Development   |                                   |  |                         |  |  |
| a. Rental  |                                   |  |                         |  |  |
| i. Construction of new units                             | 400,000                           | 9,660  | 1,054,524               | 1,064,184  | 0.5  |
| ii. Acquisition  |                                   |  |                         |  |  |
| iii. Rehabilitation                                      |                                   |  |                         |  |  |
| b. Homeownership   |                                   |  |                         |  |  |
| i. Construction of new units                             |                                   |  |                         |  |  |
| ii. Acquisition  |                                   |  |                         |  |  |
| iii. Rehabilitation                                      | 200,000                           | 7,347  | 0                       | 7,347  | 0.4  |
| 3. Housing Services                                      |                                   |  |                         |  |  |
| 4. Housing management<br>services                        |                                   |  |                         |  |  |
| 5. Crime Prevention & Safety                             |                                   |  |                         |  |  |
| 6. Model Activities                                      | 10,000                            | 10,000   | 0                       | 10,000   | 0.6  |
| 7. Planning & administration                             | 366,650                           | 239,312  | 0                       | 239,312  | 13.0   |
| 8. Reserves  |                                   |  |                         |  |  |
| 9. Other   |                                   |  |                         |  |  |
| <b>Total</b>   | 2,563,859                         | 1,385,345  | 1,054,524               | 2,439,869  | 75.6   |

**Table II (continued)**

|   |  | Cumulative                |                                       |                                |                                    |                         |
|---|--|---------------------------|---------------------------------------|--------------------------------|------------------------------------|-------------------------|
| Activity                                      | Number of units planned (From the IHP) | Number of units completed | Number of units started not completed | Number of families assisted    |                                    |                         |
| (a)   | (g)                                    | (h)                       | (i)                                   | low-income Indian families (j) | non low-income Indian families (k) | non-Native American (l) |
| 5. Indian Housing Assistance (1937 Act units) |  |                           |                                       |                                |                                    |                         |
| i. Modernization                              | 20                                     | 5                         | 0                                     | 5                              | 0                                  | 0                       |
| j. Operating                                  | 250                                    | 250                       | 0                                     | 250                            | 0                                  | 0                       |
| 6. Development                                |  |                           |                                       |                                |                                    |                         |
| e. Rental                                     |  |                           |                                       |                                |                                    |                         |
| xiii. Construction of new units               | 52                                     | 0                         | 25                                    | 0                              | 0                                  | 0                       |
| xiv. Acquisition                              | 0                                      | 0                         | 0                                     | 0                              | 0                                  | 0                       |
| xv. Rehabilitation                            | 0                                      | 0                         | 0                                     | 0                              | 0                                  | 0                       |
| e. Homeownership                              |  |                           |                                       |                                |                                    |                         |
| xiii. Construction of new units               | 0                                      | 0                         | 0                                     | 0                              | 0                                  | 0                       |
| xiv. Acquisition                              | 0                                      | 0                         | 0                                     | 0                              | 0                                  | 0                       |
| xv. Rehabilitation                            | 10                                     | 0                         | 1                                     | 0                              | 0                                  | 0                       |
| 31. Housing Services                          |  |                           |                                       |                                |                                    |                         |
| 32. Housing Management Services               |  |                           |                                       |                                |                                    |                         |
| 33. Crime Prevention & Safety                 | 0                                      | 0                         | 0                                     | 0                              | 0                                  | 0                       |
| 34. Model Activities                          | 24                                     | 24                        | 0                                     | 24                             | 0                                  | 0                       |
| 35. Planning & administration                 |  |                           |                                       |                                |                                    |                         |
| 36. Reserves                                  | 0                                      | 0                         | 0                                     | 0                              | 0                                  | 0                       |
| 37. Other                                     | 0                                      | 0                         | 0                                     | 0                              | 0                                  | 0                       |
| <b>Total</b>                                  | <b>356</b>                             | <b>279</b>                | <b>26</b>                             | <b>279</b>                     | <b>0</b>                           | <b>0</b>                |

Narrative:

13. Column (a), line item #8, Reserves: Identify the purpose for the funds you placed in this category.

14. Explain any unexpected cost overruns associated with IHBG funds.

15. Investments: Date HUD approved: June 1, 2007  
(mm/dd/yy)

Amount approved for investment: \$ 1,136,586

Amount of IHBG funds (principal only) invested as of this reporting period end date: \$ 0.00

## PART I - REPORTING ON THE ONE-YEAR INDIAN HOUSING PLAN (IHP)

One separate Part I (that includes Tables I and II) must be submitted for **each** open grant.

Grant Number:

Enter the date HUD notified you that  
your IHP was found in compliance: 05/18/07

Is this the final APR for this grant? Check one: Yes ☐ No ☒

Each year, you develop goals and objectives and performance objectives in the IHP that describe the use of your IHBG funds. At the end of the program year, you report on the progress made towards achievement of them.

6. Please report on each of the one-year goals and objectives **and** report on each of the performance objectives identified in the IHP for this reporting period only in a format as follows:

**Note:** Goals and Objectives should be reported from inception to the present while the performance objectives should be reported for the reporting period only.

|             |                                  |  |
|-------------|----------------------------------|--|
| GOAL<br># 1 |                                  | <i>Goal as identified in the IHP:</i> Administer existing housing programs   |
|             | Objective # 07-1a                | <i>Objective as identified in the IHP:</i> Administer Rental Housing Program   |
|             | Objective #07-1b                 | <i>Objective as identified in the IHP:</i> Administer Mutual Help Housing Program  |
|             | Performance Objective<br># _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> Ensure that existing programs continue to be operated according to the policies of the Department. |
|             | Accomplishment(s)                | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i> Not started – these funds will be used in 2008   |
|             | No accomplishment                | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |

|             |                               |  |
|-------------|-------------------------------|--|
| GOAL<br># 2 |                               | <i>Goal as identified in the IHP:</i> Eliminate substandard housing.   |
|             | Objective 07-2a               | <i>Objective as identified in the IHP:</i> IHBG Rehab Program – Tribally managed housing. Perform inspections, write Scope of Work, award contracts and complete rehab work on homes designated for rehab under the IHBG Program.  |
|             | Objective 07-2b               | <i>Objective as identified in the IHP:</i> IHBG Rehab Program – privately-owned housing. On as needed basis, funds will be provided to perform repairs to privately owned homes of low-income families. Repairs will be limited to those necessary to eliminate conditions affecting the health and/or safety of the occupants. Elderly families will have preference. |
|             | Performance Objective # _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> Perform inspections, write Scope of Work, award contracts and complete rehab work on homes designated for rehab under the IHBG Program.  |
|             | Accomplishment(s)             | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i> Not started – will be used in 2008   |
|             | No accomplishment             | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
| GOAL<br># 3 |                               | <i>Goal as identified in the IHP:</i> Provide additional housing opportunities.  |
|             | Objective 07-3a               | <i>Objective as identified in the IHP:</i> Infrastructure development.   |
|             | Objective 07-3b               | <i>Objective as identified in the IHP:</i> Low Income Housing Tax Credit Project.  |
|             | Objective 07-3c               | <i>Objective as identified in the IHP:</i> Rental Housing Expansion project  |
|             | Performance Objective # _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> (1) Funds will be used to build roads and install utilities, to ready the sites for new housing construction. (2) The TTHD successfully  |

GOAL  
# 4

|                               |  |
|-------------------------------|--|
|                               | applied for Low Income Housing Tax Credits to develop low-income rental housing and the rehabilitation of existing rental housing units; (3) single family homes will be converted to multi-family housing.  |
| Accomplishment(s)             | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i><br>Site development is approximately 60% complete as of 12/31/07.  |
| No accomplishment             | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |
|                               | <i>Goal as identified in the IHP:</i> Develop transitional housing facility.   |
| Objective 07-4a               | <i>Objective as identified in the IHP:</i> contribute towards tribal construction of transitional housing facility.  |
| Performance Objective # _____ | <i>Performance objective relating to the above listed goal and its objective(s) as identified in the IHP:</i> IHBG funds will be used as a lump-sum payment to the Tulalip Tribes for 50% of the estimated construction cost of a transitional housing facility. |
| Accomplishment(s)             | <i>Progress made toward completion of the goal and objective(s) as they relate to this performance objective:</i> Not started yet.   |
| No accomplishment             | <i>If no progress was made, explain why not and describe what you will do to complete the activities:</i>  |

7. Are you on schedule to complete the 5-year goals identified in your IHP?

Check one: Yes ☒ No ☐

8. If the answer to #2 is no, explain causes for delays and how you plan to modify your program to meet your 5-year goals and objectives.

9. How would you change your programs in general as a result of your experience with the implementation of NAHASDA?

More careful monitoring of the program by the Tribal Government may have circumvented some of the problems that were encountered in 2004 and resulted in takeover of the program by the Tribal Government.

Grant Number:

07IT531280

**Table I - Sources of Funds**

| Sources of Funds for IHBG Activities        | Planned Amount<br>(from the IHP) | Amount<br>Actually<br>Awarded |
|---|----------------------------------|-------------------------------|
| (a)   | (b)                              | (c)                           |
| 1. HUD Resources                            |                                  |                               |
| a. NAHASDA Block Grant                      | 3,344,088                        | 3,344,088                     |
| b. NAHASDA Program Income                   | 730,607                          | 0                             |
| c. NAHASDA Title VI (Federal Guarantee)     |                                  |                               |
| d. Section 184 Loan Guarantee               |                                  |                               |
| e. Indian Community Development Block Grant |                                  |                               |
| f. Drug Elimination                         |                                  |                               |
| g. Prior year funds                         |                                  |                               |
| h. Other (explain in narrative)             |                                  |                               |
| 2. Existing Program Resources               |                                  |                               |
| a. 1937 Housing Act Programs                |                                  |                               |
| b. Other HUD Programs                       |                                  |                               |
| 3. Other Federal or State Resources         |                                  |                               |
| a. BIA Home Improvement Program             |                                  |                               |
| b. Other (explain in narrative)             |                                  |                               |
| 4. Private Resources                        |                                  |                               |
| a. Tribe                                    |                                  |                               |
| b. Financial Institution                    |                                  |                               |
| c. Other : payments from refinanced units   |                                  |                               |
| 5. Other (explain in narrative)             |                                  |                               |
| <b>Total Resources</b>                      | <b>4,074,695</b>                 | <b>3,344,088</b>              |

Narrative:

1. If column c is less than column b, explain why the planned funds were not realized.

2007 Program Income credited against 2005 IHBG.



Grant Number:

07IT531280

**Table II - Uses of Funds**

| Activity   | Budgeted Amount<br>(From the IHP) | Cumulative                                    |                         |  |   |
|--|-----------------------------------|---|-------------------------|--|---|
|  |                                   | Grant and<br>Program Income<br>funds expended | Other funds<br>expended | Total funds<br>expended from all<br>sources<br>(c + d) | Percentage of<br>IHBG and Program<br>Income Obligated |
| (a)  | (b)                               | (c)   | (d)                     | (e)  | (f)   |
| 1. Indian Housing Assistance<br>(1937 Housing Act units) |                                   |   |                         |  |   |
| a. Modernization   | 810,000                           | 0   | 0                       | 0  | 0   |
| b. Operating   | 1,322,964                         | 0   | 0                       | 0  | 0   |
| 2. Development   |                                   |   |                         |  |   |
| a. Rental  |                                   |   |                         |  |   |
| i. Construction of new units                             | 1,306,360                         | 0   | 0                       | 0  | 0   |
| ii. Acquisition  |                                   |   |                         |  |   |
| iii. Rehabilitation                                      |                                   |   |                         |  |   |
| b. Homeownership   |                                   |   |                         |  |   |
| i. Construction of new units                             |                                   |   |                         |  |   |
| ii. Acquisition  |                                   |   |                         |  |   |
| iii. Rehabilitation                                      | 240,000                           | 0   | 0                       | 0  | 0   |
| 3. Housing Services                                      |                                   |   |                         |  |   |
| 4. Housing management<br>services                        |                                   |   |                         |  |   |
| 5. Crime Prevention & Safety                             |                                   |   |                         |  |   |
| 6. Model Activities                                      | 10,000                            | 0   | 0                       | 0  | 0   |
| 7. Planning & administration                             | 385,371                           | 0   | 0                       | 0  | 0   |
| 8. Reserves  |                                   |   |                         |  |   |
| 9. Other   |                                   |   |                         |  |   |
| <b>Total</b>   | 4,074,695                         | 0   | 0                       | 0  | 0   |

**Table II (continued)**

|   |   | Cumulative                          |  |                                      |  |                               |
|---|---|-------------------------------------|--|--------------------------------------|--|-------------------------------|
| Activity<br>(a)                                     | Number of units<br>planned<br>(From the IHP)<br>(g) | Number of units<br>completed<br>(h) | Number of<br>units started<br>not completed<br>(i) | Number of families assisted          |  |                               |
|   |   |                                     |  | low-income<br>Indian families<br>(j) | non low-income<br>Indian families<br>(k) | non-Native<br>American<br>(l) |
| 6. Indian Housing<br>Assistance (1937 Act<br>units) |   |                                     |  |                                      |  |                               |
| k. Modernization                                    | 50  | 0                                   | 0  | 0                                    | 0  | 0                             |
| l. Operating  | 250   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 7. Development                                      |   |                                     |  |                                      |  |                               |
| f. Rental   |   |                                     |  |                                      |  |                               |
| xvi. Construction of<br>new units                   | 52  | 0                                   | 0  | 0                                    | 0  | 0                             |
| xvii. Acquisition                                   | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| xviii. Rehabilitation                               | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| f. Homeownership                                    |   |                                     |  |                                      |  |                               |
| xvi. Construction of<br>new units                   | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| xvii. Acquisition                                   | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| xviii. Rehabilitation                               | 24  | 0                                   | 0  | 0                                    | 0  | 0                             |
| 38. Housing Services                                |   |                                     |  |                                      |  |                               |
| 39. Housing Management<br>Services                  |   |                                     |  |                                      |  |                               |
| 40. Crime Prevention &<br>Safety                    | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 41. Model Activities                                | 24  |                                     | 0  | 0                                    | 0  | 0                             |
| 42. Planning &<br>administration                    |   |                                     |  |                                      |  |                               |
| 43. Reserves  | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| 44. Other   | 0   | 0                                   | 0  | 0                                    | 0  | 0                             |
| <b>Total</b>  | 400   | 0                                   | 0  | 0                                    | 0  | 0                             |

Narrative:

16. Column (a), line item #8, Reserves: Identify the purpose for the funds you placed in this category.

17. Explain any unexpected cost overruns associated with IHBG funds.

18. Investments: Date HUD approved: June 1, 2007  
(mm/dd/yy)

Amount approved for investment: \$ 2,678,826

Amount of IHBG funds (principal only) invested as of this reporting period end date: \$ 2,678,826

## Part II - Reporting on Program Year Accomplishments

This Part is not grant specific. A single Part II consisting of Sections A through D (including Table III), is to be prepared and submitted at the end of each program year and will cover all open grants.

### SECTION A - MONITORING

**I. Self-Monitoring:** Sec 403(b) of the NAHASDA statute and §1000.502 of the program regulations require that the recipient and the tribe are to be involved in monitoring activities. You are responsible for monitoring your grant activities to ensure compliance with NAHASDA and its implementing regulations, and for monitoring the performance goals included under the IHP. In addition, if you are the TDHE, the tribe is responsible for monitoring your programmatic performance for compliance with the IHP, its stated goals and objectives, and the NAHASDA statute and its implementing regulations.

If you are a tribe reporting as the recipient, answer questions 1, 2 and 5. If you are a TDHE, answer all of the questions in this part.

1. Briefly describe the self monitoring systems and internal control procedures you used and those you implemented during the past year to assure that program activities comply with NAHASDA and its program regulations.

The Tulalip Tribes has implemented a Self-Monitoring process that includes monthly monitoring by an outside contractor (Northwest Housing Consultants, LLC). Reports are provided to the General Manager on a monthly basis, and to the Board of Directors annually.

2. If you are a tribe or a TDHE reporting as the recipient:

- a. List the activities you monitored:

Recent monitoring has consisted of looking at tenant files, including looking at compliance and general management of housing documents. The compliance contractor has reviewed financial reports, procurement, contracts and other housing management documents.

- b. Describe the results of each monitoring activity:

Monitoring has resulted in a number of suggestions for improved housing management, including better file structure, improvements to forms and improved internal controls.

- c. Describe any required corrective action:

No required correction actions to date.

3. If you are a TDHE (in addition to answering #2):

d. Describe the procedures the tribe used to monitor your affordable housing activities:

e. List your activities the tribe monitored:

f. Describe the results of the activities the tribe monitored:

g. Describe any corrective action required:

4. If you are a TDHE, describe any issues regarding your program activities that were referred to the tribe by HUD, an auditor, etc. and your responses to them.

5. Describe any monitoring activities you conducted of your sub-recipients. The Tulalip Tribes has entered into a sub-recipient agreement with the Housing Department to manage the rental assistance program. This program will begin in 2008.

**II. Inspection of Units:** Per 403(b) of NAHASDA, a monitoring program must include an on-site inspection of all housing units assisted with NAHASDA funds and 1937 Housing Act funds. Use Table III to record the results of the assisted housing units inspected in this reporting period.

**Table III - Inspection of Assisted Housing**

| Activity                         | Total number of units | Units Inspected  |                                       |   |   |  |
|----------------------------------|-----------------------|--|---------------------------------------|---|---|--|
|                                  |                       | Total number of units Inspected<br>(total d through g) | Number of units in standard condition | Number of units needing rehabilitation (costing less than \$20,000) | Number of units needing rehabilitation (more than \$20,000) | Number of units needing to be replaced |
| (a)                              | b.                    | c.   | d.                                    | e.  | f.  | g.                                     |
| 1. 1937 Housing Act funded units |                       |  |                                       |   |   |  |
| a. Mutual Help                   | 106                   | 48   | 15                                    | 33  | 0   | 0                                      |
| b. Low Rent                      | 133                   | 41   | 30                                    | 11  | 0   | 0                                      |
| c. Turnkey III                   |                       |  |                                       |   |   |  |
| d. Other                         |                       |  |                                       |   |   |  |
| 2. NAHASDA funded units          |                       |  |                                       |   |   |  |
| a. Owned or managed by recipient |                       |  |                                       |   |   |  |
| b. Homeownership                 |                       |  |                                       |   |   |  |
| c. Rental                        | 24                    | 20   | 20                                    | 0   | 0   | 0                                      |
| d. Temporary housing             |                       |  |                                       |   |   |  |
| e. Other                         |                       |  |                                       |   |   |  |
| <b>Total</b>                     | <b>263</b>            | <b>109</b>   | <b>65</b>                             | <b>44</b>   | <b>0</b>  | <b>0</b>                               |

**Narrative:**

1. Describe your plan of action for complying with your inspection policy:

The Housing Manager is taking steps to increase productivity of the Housing Inspectors. Additional staff has been hired.

2. If applicable, explain why all units were not inspected:

Staff turnover has affected to some degree the ability to inspect all homes. Current inspectors appear to need additional direction and task management assistance to ensure that productivity improves.

3. Describe the process you use to perform inspections on units you do not own or manage which are assisted with IHBG funds:

Not Applicable.

## SECTION B - AUDITS

Per 24 CFR 1000.544, IHBG recipients must comply with the requirements of the Single Audit Act and OMB Circular A-133 which require annual audits of recipients that expend Federal funds equal to or in excess of an amount specified by the U.S. Office of Management and Budget. (Currently set at \$300,000). Audit reports are to be submitted to HUD within 30 days after receipt or nine months after the end of the audit period (whichever is soonest). Per 24 CFR 1000.548, if a copy of the audit has not already been submitted, it must be submitted with the APR.

1. For this program year, did you expend Federal funds equal to or more than \$300,000?

Check one:      Yes ☒      No ☐

2. If the audit is not submitted with this APR, the time period your last audit was covered is 12 months ending 12/31/06.

3. If you are a TDHE, will your housing activities be included in the tribe's audit (in which case you will not be submitting an audit for this period)?

Check one:      Yes ☐      No ☐

4. If the answer to #3 is no, have you submitted your latest audit report to the tribe in accordance with 24 CFR 1000.550?

Check one:      yes ☐      No ☐

## SECTION C - Public Accountability:

1. Did you make this APR available to the citizens in your jurisdiction before it was submitted to HUD per 24 CFR 1000.518?

Check one:      Yes ☐      No ☒

APR being made available concurrently.

2. If you are a TDHE, did you submit this APR to the tribe per 24 CFR 1000.512?

Check one:      Yes ☐      No ☐      N/A ☒

3. If you answered no to question #1 and/or #2, provide an explanation as to why not and indicate when you will do so.

This report is being made available concurrently with submission to HUD.

4. Summarize any comments received from citizens:

Will submit summary of any comments when received.

5. Summarize any comments received from the tribe if applicable:



## **SECTION D - Jobs Created by NAHASDA**

SUBMISSION OF THIS TABLE IS OPTIONAL . The information provided in this table may be used to respond to inquiries from Congress, other Federal agencies, and the public regarding the impact of the IHBG Program.

**Table IV - Jobs Created by NAHASDA**

|                                       | <b>Number of<br/>permanent<br/>positions created</b> | <b>Number of<br/>temporary<br/>positions created</b> | <b>Number of<br/>positions needed to<br/>implement<br/>NAHASDA</b> |
|---------------------------------------|--|--|--|
| (a)                                   | (b)  | (c)  | (d)  |
| Indian Housing Block Grant Assistance |  |  |  |

**Narrative:**